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Carlos Fernández
Department of Surveying,
Technical University of
Madrid, Madrid, Spain

Ana Fernández
Department of Surveying,
Technical University of
Madrid, Madrid, Spain

Development and Validation of a GNSS surface occlusion model for enhanced positioning accuracy

Carlos Fernández and Ana Fernández

Abstract

The Global Navigation Satellite System (GNSS) has become an essential tool for precise positioning and navigation across various industries, including transportation, agriculture, and urban planning. However, GNSS positioning accuracy can be significantly degraded by surface occlusions such as buildings, trees, and other obstructions that block or reflect satellite signals. This review article examines the development and validation of surface occlusion models to enhance GNSS positioning accuracy. We analyze existing literature on occlusion modeling techniques, their application in urban environments, and the challenges involved in integrating these models with GNSS data. Furthermore, the review highlights the advancements in software and hardware solutions designed to mitigate the impact of surface occlusions and improve overall positioning accuracy.

Keywords: Enhanced positioning, GNSS surface, occlusion model

1. Introduction

Global Navigation Satellite Systems (GNSS) play a critical role in providing precise location data for a wide range of applications, including navigation, surveying, and autonomous systems. The reliability and accuracy of GNSS are paramount, particularly in urban environments where surface occlusions, such as buildings, trees, and other obstructions, can severely degrade signal quality. These occlusions cause multipath errors, signal attenuation, and loss of satellite visibility, leading to positioning inaccuracies that can impact the performance of GNSS-dependent systems.

The development of surface occlusion models aims to predict and mitigate the effects of these obstructions on GNSS signals, thereby enhancing positioning accuracy. These models typically involve the integration of geographical information systems (GIS), 3D building models, and advanced signal processing algorithms to identify and correct for occlusions in real-time.

This review article explores the evolution of GNSS surface occlusion models, discussing the methodologies employed in their development, the validation techniques used, and their practical applications in urban environments. By synthesizing the findings from recent studies, this article provides insights into the current state of GNSS occlusion modeling and identifies areas for future research.

2. Main Objective

The primary objective of this review is to provide a comprehensive analysis of the development and validation of GNSS surface occlusion models, with a focus on enhancing positioning accuracy in challenging environments.

3. Literature Review

The concept of surface occlusion modeling in GNSS dates back to the early 1990s when researchers first recognized the significant impact of urban structures on GNSS signal integrity. Initial models were simplistic, focusing primarily on the direct line-of-sight between the GNSS receiver and satellites. As technology advanced, more sophisticated models incorporating environmental factors such as building geometry, vegetation, and terrain were developed.

In the late 1990s and early 2000s, the integration of GIS into occlusion modeling marked a significant leap forward. Studies by Gikas and Perakis (1999) ^[1] demonstrated how GIS could be used to create 2D and 3D maps of urban environments, which were then used to

Corresponding Author:
Carlos Fernández
Department of Surveying,
Technical University of
Madrid, Madrid, Spain

predict signal blockages and identify optimal GNSS receiver placements. These early models laid the groundwork for more complex approaches that followed.

With the rise of computational power and the availability of high-resolution spatial data, occlusion models became increasingly sophisticated. The introduction of 3D building models allowed for more accurate simulations of signal propagation in urban environments. Techniques such as ray-tracing, first applied in computer graphics, were adapted to simulate GNSS signal behavior in these complex environments.

Ray-tracing models, as explored by Hsu and Gu (2007) ^[2], provided a detailed analysis of how GNSS signals interacted with surfaces, leading to the identification of multipath errors and signal attenuation. These models were particularly useful in urban canyons, where traditional line-of-sight models failed to account for the complexities of signal reflection and diffraction.

The most recent advancement in GNSS occlusion modeling involves the application of machine learning techniques. By training algorithms on large datasets of GNSS measurements and environmental features, researchers have developed models that can predict occlusions with high accuracy. Machine learning models, as demonstrated by Groves *et al.* (2018) ^[4], have shown significant improvements in positioning accuracy, particularly in environments where traditional models struggle.

Machine learning approaches have also been used to develop hybrid models that combine the strengths of GIS-based, 3D modeling, and ray-tracing techniques. These hybrid models leverage the predictive power of machine learning to adjust for real-time changes in the environment, such as moving vehicles or dynamic weather conditions, which can affect GNSS signal quality.

Validation is a critical aspect of GNSS occlusion model development, ensuring that theoretical models perform accurately in real-world conditions. The literature reveals a range of validation techniques, from field testing in controlled environments to simulation-based approaches. Studies by Kuusniemi *et al.* (2007) ^[3] have employed field testing in urban canyons, using dense networks of GNSS receivers to collect data on signal degradation. These real-world tests are essential for refining models and ensuring their applicability in practical scenarios.

Simulation-based validation, on the other hand, allows for testing under a wide range of conditions that may be difficult to replicate in the field. This approach is particularly useful for benchmarking new models against existing ones, as it provides a controlled environment for comparing performance metrics such as accuracy and computational efficiency.

4. Developing GNSS Surface Occlusion Models

The development of GNSS surface occlusion models is a multifaceted process that integrates various technological and methodological advancements to address the challenges posed by physical obstructions in the GNSS signal path. These obstructions, including buildings, trees, and other structures, can significantly degrade the accuracy of GNSS positioning, particularly in urban environments where signal blockage and multipath effects are prevalent. One of the foundational approaches in developing occlusion models involves the use of Geographical Information Systems (GIS). GIS provides a framework for integrating spatial

data, including terrain features, building footprints, and vegetation, which are crucial for modeling the physical environment through which GNSS signals propagate. Gikas and Perakis (1999) ^[1] demonstrated the effectiveness of GIS in creating detailed 2D and 3D maps of urban environments. These maps are essential for predicting areas where GNSS signals may be obstructed, enabling the identification of potential occlusions and optimizing receiver placement. The advancement of 3D building models has significantly enhanced the accuracy of GNSS occlusion models. Techniques such as LiDAR and photogrammetry are employed to generate detailed representations of the urban landscape. These models simulate the interaction between GNSS signals and physical structures, capturing phenomena such as signal reflection, diffraction, and attenuation. Hsu and Gu (2007) ^[2] highlighted the importance of 3D building models in accurately predicting multipath errors, which occur when GNSS signals reflect off surfaces and reach the receiver through multiple paths, causing positioning errors. By incorporating these models into the occlusion modeling process, researchers can achieve a more accurate simulation of the urban environment and better anticipate signal degradation. Another critical method in the development of GNSS surface occlusion models is ray-tracing, a computational technique borrowed from the field of computer graphics. Ray-tracing involves simulating the paths that GNSS signals take as they interact with various surfaces within a 3D environment. This method allows for a detailed analysis of how signals are blocked, reflected, or diffracted by physical structures. Hsu and Gu (2007) ^[2] applied ray-tracing to urban GNSS positioning and demonstrated its effectiveness in accurately predicting areas of signal loss and multipath interference. However, while ray-tracing offers high accuracy, it is also computationally intensive, making it challenging to implement in real-time applications without significant processing power. In recent years, the integration of machine learning into GNSS occlusion modeling has emerged as a promising approach. Machine learning algorithms can be trained on large datasets of GNSS measurements and environmental features to predict the impact of surface occlusions on signal quality. Groves *et al.* (2018) ^[4] explored the use of machine learning models to enhance urban GNSS positioning. By leveraging the vast amounts of data available from urban environments, these models can learn to predict occlusions with high accuracy, adjusting the positioning calculations in real time to account for changes in the environment, such as moving vehicles or dynamic weather conditions. This adaptability makes machine learning models particularly useful in complex urban landscapes where traditional models may struggle. The development of hybrid models that combine the strengths of GIS-based modeling, 3D building models, ray-tracing, and machine learning represents a significant advancement in the field. These hybrid approaches allow researchers to capitalize on the strengths of each method while mitigating their respective weaknesses. For example, while ray-tracing provides high accuracy, its computational demands can be offset by integrating machine learning algorithms that predict occlusions based on historical data, thereby reducing the need for real-time computation. Similarly, GIS and 3D models provide a solid foundation for environmental representation, which can be further refined using machine learning techniques to improve prediction accuracy. Overall, the development of GNSS

surface occlusion models has evolved significantly, driven by advancements in computational techniques, spatial data acquisition, and machine learning. These models are increasingly capable of accurately simulating the impact of physical obstructions on GNSS signals, thereby enhancing positioning accuracy in challenging environments. As technology continues to advance, the integration of these various approaches will likely lead to even more sophisticated models, capable of providing highly accurate GNSS positioning in a wide range of environments, from dense urban areas to remote natural landscapes.

5. Validation of GNSS Surface Occlusion Models

The validation of GNSS surface occlusion models is a crucial step in ensuring their reliability and effectiveness in real-world applications. Given the complexity of urban environments and the diverse factors that can affect GNSS signal quality, it is essential to rigorously test and validate these models against actual conditions to confirm their accuracy and robustness. One of the primary methods of validation involves field testing, where GNSS data is collected in environments known for significant signal occlusions, such as urban canyons. These field tests provide a direct comparison between the predicted performance of the occlusion models and the actual GNSS data observed in real-world conditions. For instance, Kuusniemi *et al.* (2007) [3] conducted extensive field tests in urban environments, using dense networks of GNSS receivers to gather data on signal degradation caused by buildings and other structures. Their findings demonstrated that the occlusion model could predict signal blockages and multipath errors with over 90% accuracy, underscoring the model's effectiveness in urban settings.

Another critical approach to validation is simulation-based testing, which allows researchers to create controlled environments in which the effects of various environmental factors on GNSS signals can be systematically examined. Simulation-based validation is particularly useful when testing in the field may be impractical or when specific conditions, such as extreme weather or unusual building layouts, need to be replicated. Hsu and Gu (2007) [2] used simulation-based methods to refine their ray-tracing model, applying it to various hypothetical urban scenarios to predict how signals would behave under different configurations of buildings and other obstructions. By comparing the simulated results with known outcomes or simplified real-world cases, they were able to fine-tune their model to achieve high fidelity in predicting multipath effects and signal attenuation.

Benchmarking against existing models is another common validation strategy. This approach involves comparing the performance of a newly developed occlusion model with that of established models to determine its relative accuracy and efficiency. Groves *et al.* (2018) [4] employed benchmarking to evaluate a machine learning-based occlusion model against traditional GIS-based and ray-tracing models. Their study found that the machine learning model outperformed the others in terms of both accuracy and computational efficiency, demonstrating a 15% improvement in positioning accuracy in complex urban environments. Benchmarking provides a critical reference point, ensuring that new models offer tangible improvements over previous approaches.

Validation also often includes sensitivity analysis, where the model's performance is tested against a range of parameters to understand how changes in environmental conditions or model inputs affect its accuracy. Sensitivity analysis helps identify potential weaknesses in the model and guides further refinements. For example, in their study on urban GNSS positioning, Hsu and Gu (2007) [2] performed sensitivity analyses by varying the heights and reflectivity of buildings within their ray-tracing simulations. This analysis revealed that certain configurations of urban structures were more likely to cause significant multipath errors, leading to targeted adjustments in the model to better handle these scenarios.

In some cases, hybrid validation approaches are used, combining field testing, simulation, and benchmarking to provide a comprehensive assessment of the model's performance. This multi-faceted approach ensures that the model is not only theoretically sound but also practically viable across a wide range of conditions. Li *et al.* (2020) [5] utilized a hybrid approach to validate their GNSS occlusion model, combining real-world data from urban navigation systems with extensive simulation and benchmarking tests. This thorough validation process allowed them to confidently apply their model in diverse urban environments, achieving sub-meter accuracy even in highly obstructed areas.

Ultimately, the validation of GNSS surface occlusion models is an iterative process, involving continuous testing, feedback, and refinement. As new data becomes available and as urban environments evolve, models must be regularly updated and revalidated to maintain their accuracy and relevance. The studies highlighted in this review demonstrate that rigorous validation is essential for ensuring that GNSS occlusion models are reliable and effective tools for enhancing positioning accuracy, particularly in challenging environments like urban canyons. With ongoing advancements in technology and data acquisition, future validation efforts will likely become even more sophisticated, incorporating real-time data and adaptive algorithms to further improve the accuracy and utility of these models.

6. Conclusion

The development and validation of GNSS surface occlusion models represent a significant advancement in the pursuit of enhanced positioning accuracy, particularly in challenging environments such as urban canyons. These models address the critical issue of signal degradation caused by physical obstructions, offering sophisticated solutions through the integration of GIS, 3D building models, ray-tracing techniques, and machine learning approaches. This review has explored the multifaceted process of creating these models, highlighting the key methodologies and technological advancements that have enabled more accurate and reliable GNSS positioning. The incorporation of high-resolution spatial data and advanced computational techniques has allowed researchers to simulate and predict the complex interactions between GNSS signals and urban structures, leading to more precise occlusion models. Validation is a crucial component of this development process, ensuring that these models perform effectively in real-world scenarios. Through rigorous field testing, simulation-based evaluations, benchmarking, and sensitivity analysis, researchers have demonstrated that these models

can significantly improve positioning accuracy, reducing errors associated with multipath effects and signal blockages. As urban environments continue to evolve and the demand for precise GNSS positioning grows, the need for accurate and adaptable occlusion models will become even more critical. The ongoing refinement of these models, coupled with advancements in machine learning and real-time data processing, holds the promise of further enhancing their accuracy and applicability across diverse environments. In conclusion, GNSS surface occlusion models have made substantial progress in addressing the challenges of urban GNSS positioning. By continuing to refine these models and validate them against real-world conditions, the GNSS community can ensure that these tools remain effective in providing accurate positioning solutions in even the most complex environments. The future of GNSS technology will undoubtedly be shaped by these advancements, paving the way for more reliable and precise navigation and positioning systems across various industries.

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