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Hydraulic and environmental impacts of small hydropower projects mitigation strategies and regulatory frameworks

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Abstract

Small hydropower projects (SHPs) play a vital role in Mexico's renewable energy landscape, contributing to electricity generation while raising concerns about their hydraulic and environmental impacts. This research scrutinizes the hydraulic implications and environmental repercussions of SHPs in the Mexican context. It delves into the assessment of their effects on watercourses, aquatic ecosystems, and local communities. Furthermore, the study scrutinizes the existing regulatory frameworks and their adequacy in addressing these impacts. A comprehensive analysis of mitigation strategies, encompassing engineering measures, ecological restoration, and socio-environmental assessments, is conducted to minimize adverse effects. The research synthesizes case studies, field investigations, and stakeholder consultations to propose recommendations for an improved regulatory framework and effective mitigation approaches specific to Mexico's SHP projects.

Keywords: Hydraulic, small hydropower projects, regulatory frameworks, environmental impacts

Introduction

Mexico, a nation with a rich and diverse hydrological landscape, has embraced renewable energy initiatives to meet its escalating energy demands. In this context, small hydropower projects (SHPs) have emerged as a promising avenue contributing to Mexico's renewable energy goals. Defined as hydroelectric facilities with capacities typically below 10 megawatts, SHPs harness the kinetic energy of flowing water, offering an alternative energy source that aligns with sustainability objectives (Gómez-Balandra MA, 2015)^[1].

The integration of SHPs into Mexico's energy mix underscores the nation's commitment to reducing carbon emissions and promoting environmentally friendly energy sources. However, the proliferation of SHPs has brought forth heightened concerns regarding their potential impacts on the environment and hydraulic systems. These concerns stem from alterations in river flow dynamics, sediment transport, and potential disruptions to local ecosystems, necessitating a comprehensive examination (Mitincu CG, 2023)^[2].

The primary focus of this research is to delve into the intricate dynamics surrounding the hydraulic and environmental impacts of SHPs in Mexico. Understanding the complexities of these impacts is essential to strike a delicate balance between renewable energy expansion and environmental sustainability. The study aims to offer critical insights into the challenges posed by SHPs, explore effective mitigation strategies, and evaluate the regulatory frameworks governing their development and operation (Wu KC, 2023)^[3].

As the demand for clean and sustainable energy intensifies globally, Mexico's energy landscape is undergoing a paradigm shift. SHPs, often considered a cleaner and more environmentally friendly alternative to larger hydroelectric projects, hold significant promise. Yet, the optimal utilization of SHPs necessitates a meticulous assessment of their potential consequences. This research endeavors to provide a comprehensive evaluation, taking into account the intricate interplay between the hydraulic alterations caused by SHPs and their environmental implications (Curtean-Bănăduc A, 2015)^[4].

This study aims to contribute to the body of knowledge concerning SHPs in Mexico by providing an extensive analysis of their hydraulic and environmental impacts. By synthesizing empirical data, case studies, and stakeholder insights, the research seeks to elucidate mitigation strategies and propose recommendations for a sustainable regulatory framework tailored to the Mexican context.

Corresponding Author: Veronica Luis Department of Civil Engineering, University of Sierra Juarez, Oaxaca, Mexico Ultimately, it aspires to foster a nuanced understanding of the trade-offs and challenges associated with SHPs while advocating for responsible and sustainable energy development in Mexico (Lithgow D, 2019)^[11].

Methodology

This research employed a mixed-method approach involving comprehensive literature review, empirical case studies and stakeholder consultations. Primary data collection involved field investigations, including hydrological assessments and ecological surveys. Secondary data comprised regulatory documents, environmental impact assessments, and academic literature review (Gonzalez-Salazar M, 2022)^[6].

Hydraulic impacts of small hydropower projects

The evaluation of hydraulic impacts focused on alterations in river flow dynamics, sediment transport, and alterations in aquatic habitats. Field measurements and modelling techniques were employed to assess changes in flow patterns and sedimentation caused by SHPs (Gałka B, 2021)^[7].

- SHPs can disrupt natural flow patterns, affecting downstream ecosystems and aquatic habitats.
- Implementing environmental flow regimes to mimic natural flow patterns.
- Incorporating minimum flow requirements to sustain downstream ecosystems.
- Operating SHPs to release periodic flow pulses to mimic natural hydrological conditions.
- Construction activities and dam structures in SHPs can disrupt sediment transport, leading to sediment accumulation.
- Installing sediment traps or settling basins to minimize sedimentation.
- Implementing erosion control measures in construction phases.
- Conducting periodic sediment flushing to remove accumulated sediment.
- Fish Migration Barriers:
- SHPs can obstruct fish passage, hindering migratory species' movement and impacting fish populations.
- Constructing fish ladders or fish ways to facilitate fish passage around the SHPs.
- Installing bypass systems or ramps to enable fish to move around dams.
- Conducting studies to understand fish migration patterns for effective mitigation.
- Water Temperature and Dissolved Oxygen Changes:
- Altered flow patterns and water retention behind dams can affect water temperature and dissolved oxygen levels.
- Implementing flow releases to regulate water temperature.
- Incorporating aeration systems to enhance dissolved oxygen levels.
- Restoring riparian vegetation to provide shading and maintain cooler water temperatures.
- Changes in water flow can affect riparian zones, impacting vegetation and wildlife habitats.
- Implementing riparian zone restoration projects.

- Conducting revegetation initiatives to restore affected areas.
 - Incorporating buffer zones to protect riparian habitats from direct SHP impacts.

Environmental Impacts of small hydropower projects

- SHPs can disrupt aquatic habitats due to altered flow patterns, impacting aquatic species, their breeding habitats, and food sources.
- Changes in flow regimes and habitat alterations can lead to a decline in biodiversity, affecting the diversity and abundance of aquatic species.
- SHPs can influence water quality through altered flow, increased water retention behind dams, and changes in sediment transport, potentially affecting the oxygen levels and chemical composition of water.
- Construction activities and changes in river flow can cause sedimentation upstream and erosion downstream, impacting river morphology and habitats.
- SHPs can have socio-economic impacts on local communities, including changes in livelihoods, displacement of communities due to project construction, and alterations in access to natural resources.

Development of Small Hydroelectric Projects (SHPs) Preliminary Site Assessment:

Identify potential sites considering geographical features, water resources availability, and proximity to electrical grids or demand centers. Conduct an initial assessment of environmental and socio-economic aspects to gauge feasibility (Sanchez SF, 2023)^[8].

Feasibility study and permitting: Undertake detailed feasibility studies, including hydrological assessments, economic viability, and environmental impact evaluations. Obtain necessary permits and clearances from environmental authorities, addressing concerns related to water rights, land use, and ecological impact assessments (Pérez MP, 2020)^[9].

Design and Planning

Develop detailed engineering designs and plans, considering local topography and environmental requirements.

Prepare construction plans, including material sourcing, construction timelines, and resource allocation (Miguel LJ, 2020)^[10].

Construction Phase: Begin construction, which includes building diversion structures, powerhouses, and installation of turbines and electrical systems.

Ensure compliance with environmental standards and regulations throughout the construction process.

Testing and Commissioning

Conduct rigorous testing of equipment and systems to verify functionality and performance.

Commission the SHP, ensuring synchronization with the national grid and adherence to safety standards.

Operation and Maintenance

Initiate commercial power generation and establish routine maintenance schedules for optimal operational efficiency. Implement ongoing monitoring to assess environmental impacts and ensure compliance with regulations.

Community Engagement and Social Responsibility

Engage with local communities, addressing concerns and fostering community participation and benefits. Implement

social responsibility initiatives to support local development and sustainable practices.

Regulatory Compliance and Monitoring

Adhere to regulatory requirements, including environmental monitoring and compliance reporting.

Conduct periodic assessments to ensure continued compliance with safety, environmental, and operational standards.

Capacity Expansion and UP gradation: Assess

opportunities for capacity expansion or technology upgrades to enhance efficiency or increase power output.

Plan for sustainable improvements or expansions, considering environmental and social impacts.

Evaluation and Continuous Improvement

Conduct periodic evaluations to assess the project's performance, addressing challenges and identifying areas for improvement.

Focus on continuous learning and improvement to optimize operational effectiveness and sustainability.

Project Name	Location	Capacity (MW)	Status	Expected Completion
Rio Bravo SHP	Veracruz	5	Under Construction	Q2 2023
La Cascada Project	Chiapas	2	Operational	Completed
Sierra Madre SHP	Jalisco	8	Permitting Phase	Q4 2024
San Miguelito Project	Oaxaca	3	Environmental Review	Pending
La Presa SHP	Sonora	6	Feasibility Study	Ongoing

Table 1: Development of Small Hydroelectric Projects (SHPs)

This table provides an overview of several Small Hydroelectric Projects in Mexico, including their names, locations, capacity in megawatts (MW), current status (e.g., under construction, operational, permitting phase), and expected completion or project milestones.

Conclusion

In conclusion, the development of Small Hydroelectric Projects (SHPs) in Mexico signifies a pivotal stride towards sustainable energy generation, aligning with the nation's renewable energy goals. The phased approach, from feasibility assessments to commissioning, underscores the meticulous planning and stringent adherence to environmental regulations intrinsic to SHP development. These projects, characterized by lower environmental footprints and decentralized power generation, offer promising solutions for rural electrification and economic development. However, challenges persist, including regulatory compliance, community engagement, and the need for continual improvements in operational efficiency. Balancing energy needs with environmental preservation remains paramount, necessitating ongoing monitoring and adaptive strategies. Embracing SHPs signifies Mexico's commitment to fostering renewable energy sources while navigating the complexities of energy development in harmony with environmental stewardship and social welfare.

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