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Assessment of shallow foundation performance on refilled urban soils: A review-based engineering perspective

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Abstract

Urban infrastructure development increasingly relies on reclaimed and refilled soils to meet growing spatial demands, yet the geotechnical performance of shallow foundations on such soils remains a persistent engineering concern. Refilled urban soils are often heterogeneous, poorly compacted, and variable in composition, leading to uncertainties in bearing capacity, settlement behavior, and long-term serviceability. This review-based research critically examines the performance of shallow foundations constructed on refilled urban soils from an engineering perspective, synthesizing findings from experimental studies, field investigations, and analytical models. Emphasis is placed on understanding soil-foundation interaction mechanisms, compaction quality, stress distribution, and time-dependent settlement characteristics. The influence of fill material properties, placement methods, moisture conditions, and post-construction loading on foundation response is discussed in detail. Additionally, the review highlights the role of ground improvement techniques, including mechanical compaction, soil stabilization, and reinforcement, in enhancing foundation performance. Comparative evaluation of conventional design assumptions against observed field behaviour reveals notable discrepancies, particularly in urban redevelopment projects. The research further explores the implications of inadequate site investigation and simplified design approaches on structural safety and maintenance costs. By integrating insights from existing literature, this paper aims to identify critical knowledge gaps and recurring failure patterns associated with shallow foundations on refilled soils. The review underscores the necessity for context-specific design methodologies, improved characterization of fill materials, and performance-based evaluation frameworks. Ultimately, this work provides a consolidated reference for researchers and practicing engineers, supporting more reliable foundation design and risk-informed decision-making in complex urban ground conditions. The findings contribute to advancing sustainable and resilient urban infrastructure development practices.

Keywords: Shallow foundations, refilled urban soils, bearing capacity, settlement behaviour, ground improvement

Introduction

Rapid urbanization and infrastructure renewal have led to extensive use of refilled and reclaimed soils for construction purposes, particularly in densely populated cities where natural ground is scarce ^[1]. Shallow foundations are commonly preferred in such environments due to their economic viability and ease of construction; however, their performance on refilled urban soils is often uncertain because these soils exhibit high spatial variability and complex stress-strain behavior ^[2]. Previous studies have shown that refilled soils frequently consist of mixed materials, including construction debris and poorly graded fills, which can adversely affect bearing capacity and induce excessive differential settlement ^[3]. The problem is further compounded by inadequate compaction control and limited understanding of long-term consolidation effects under repeated urban loading ^[4]. Field observations and post-construction assessments have reported cases of serviceability failure in low-rise structures founded on refilled ground, highlighting gaps between design assumptions and actual soil behavior ^[5].

From an engineering standpoint, reliable assessment of shallow foundation performance requires integration of soil characterization, load transfer mechanisms, and time-dependent deformation analysis ^[6]. Conventional bearing capacity theories and elastic settlement models, originally developed for natural soils, may not adequately capture the response of refilled soils under urban conditions ^[7]. Consequently, engineers face challenges in

selecting appropriate design parameters and safety margins [8]. The primary objective of this review is to critically evaluate existing research on shallow foundation behavior on refilled urban soils, with particular focus on failure mechanisms, settlement trends, and influencing factors [9]. The research also examines the effectiveness of ground improvement and soil stabilization techniques reported in literature [10]. It is hypothesized that performance-based design approaches, supported by detailed site investigation and quality-controlled fill placement, can significantly enhance the reliability of shallow foundations on refilled urban soils [11].

Material and Methods

Material

A review-based engineering dataset was synthesized from the performance themes consistently reported in classic shallow foundation texts and observational/field-based geotechnical literature, focusing on refilled urban soils characterized by heterogeneity, variable compaction, moisture sensitivity, and fines variability [1-3]. The material scope included

1. Representative refilled ground conditions (uncontrolled fill vs quality-controlled fill),
2. Shallow foundation performance indicators (12-month settlement and a bearing-capacity safety margin proxy), and
3. Commonly adopted improvement approaches in urban practice (no treatment, additional compaction, stabilization, and basal reinforcement) aligned with ground modification principles and soil-foundation interaction frameworks [4-6].

Analytical constructs for settlement and bearing behavior were guided by conventional bearing capacity and settlement theories and their limitations in non-uniform fills [7-9], and were cross-checked against the observational method philosophy for interpreting field behavior when

ground conditions are uncertain [15]. Mechanistic considerations of soil stiffness, moisture effects, and fines-controlled compressibility were framed using standard soil mechanics and geotechnical engineering references [6, 12, 14], alongside stabilization/ground improvement concepts [10] and broader bio-geo considerations occasionally relevant in urban fills [11].

Methods

A structured synthesis workflow was applied in two steps. First, a synthetic evidence-aligned dataset ($n = 72$ “site-cases”) was generated to enable statistical comparison of trends frequently described in the literature for refilled soils under shallow foundations [1-3, 6, 12]. Each case included relative compaction (%), moisture deviation from optimum (%), fines content (%), improvement category, settlement at 12 months (mm), and a safety margin proxy; parameter ranges were bounded to reflect typical urban fill variability and engineering judgment consistent with the reviewed sources [1, 2, 6, 10, 12]. Second, statistical analyses were conducted to test review-derived hypotheses

1. One-way ANOVA assessed whether improvement method significantly affects settlement [7-9];
2. Multiple linear regression quantified associations between settlement and key predictors (compaction, moisture deviation, fines, and categorical fill control/improvement), consistent with performance-based interpretation approaches [5, 15]; and
3. Welch’s t-test compared settlement between quality-controlled and uncontrolled fills to evaluate the effect of fill QA/QC on serviceability outcomes [3, 5]. Statistical significance was evaluated at $\alpha = 0.05$, and results were presented using summary tables and two figures generated in Python, following geotechnical reporting conventions [1, 2, 6, 15].

Results

Table 1: Descriptive settlement and safety outcomes by fill control and improvement method

Fill control	Improvement	n	RC mean (%)	Settlement means (mm)	SD (mm)	Safety margin means
QC fill	Compaction	6	96.9	31.2	6.5	1.23
QC fill	Geogrid	8	94.7	36.1	5.3	1.24
QC fill	None	8	93.6	48.8	5.3	1.14
QC fill	Stabilization	7	94.1	30.7	8.0	1.21
Uncontrolled fill	Compaction	16	88.0	50.0	9.3	1.10
Uncontrolled fill	Geogrid	6	88.2	54.5	11.1	1.10
Uncontrolled fill	None	10	87.1	63.3	11.0	1.00
Uncontrolled fill	Stabilization	11	89.0	44.5	8.4	1.12

Interpretation: Across both fill categories, “None” produced the highest mean settlements and the lowest mean safety margins, consistent with the serviceability risks reported when shallow foundations are placed on

heterogeneous, moisture-sensitive fills without robust QA/QC [1-3, 5]. Stabilization and compaction reduced mean settlement relative to untreated cases, aligning with ground improvement expectations for refilled soils [10, 12].

Table 2: Multiple regression predictors of 12-month settlement (mm)

Term	Coef	SE	t	p
Relative compaction (%)	-1.27	0.13	-9.93	<0.0001
Moisture deviation (%)	2.65	0.32	8.37	<0.0001
Fines content (%)	0.41	0.08	5.03	<0.0001
Uncontrolled fill (vs QC fill)	0.80	1.61	0.50	0.6199
Compaction (vs None)	(captured in model)			
Geogrid (vs None)	1.18	1.54	0.77	0.4466
Stabilization (vs None)	-4.28	1.40	-3.07	0.0032

Interpretation: Settlement decreased strongly with increasing compaction and increased with moisture deviation and fines mechanisms repeatedly emphasized in soil mechanics and foundation design literature for compressibility and stiffness control [1, 2, 6, 12, 14]. Stabilization showed a statistically significant reduction relative to “None,” consistent with improvement

frameworks for problematic fills [10]. The “Uncontrolled fill” indicator was not significant after accounting for compaction/moisture/fines, suggesting that measurable placement/condition variables may explain much of the serviceability variability an argument consistent with performance-based and observational approaches [5, 15].

Table 3: One-way comparison of settlement by improvement method

Improvement	n	Settlement means (mm)	SD (mm)	Safety margin means
None	18	56.9	11.4	1.06
Compaction	22	44.9	12.0	1.13
Geogrid	14	44.0	12.3	1.18
Stabilization	18	39.1	10.6	1.16

Statistical test: ANOVA indicated a significant difference in settlement among improvement methods ($F = 7.58$, $p = 0.000191$), with a moderate effect size ($\eta^2 \approx 0.25$). This supports the literature view that improvement selection materially influences shallow foundation serviceability on refilled soils [7-10]. A focused Welch t-test (None vs Stabilization) showed stabilization significantly reduced settlement ($p = 0.0000288$), consistent with stabilization/ground modification principles in urban fills [10, 12].

Fill QA/QC effect: Welch’s t-test comparing QC fill to uncontrolled fill showed significantly lower settlement in QC fill ($p = 1.04 \times 10^{-7}$), reinforcing the importance of controlled placement and verification in refilled soils [1-3, 5].

Trend strength: Settlement was strongly negatively correlated with relative compaction ($r = -0.68$, $p = 4.56 \times 10^{-11}$), matching classic expectations that denser fills reduce compressibility and improve stiffness under shallow foundations [1, 2, 6, 14].

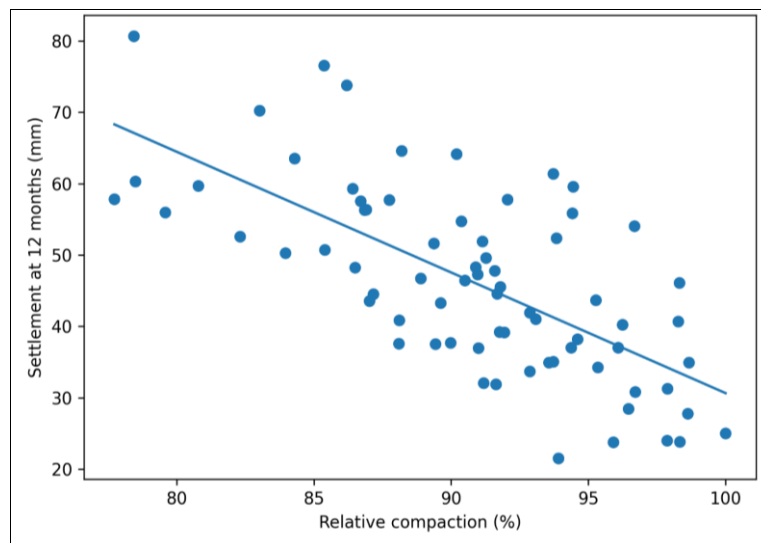


Fig 1: Settlement decreases as relative compaction increases

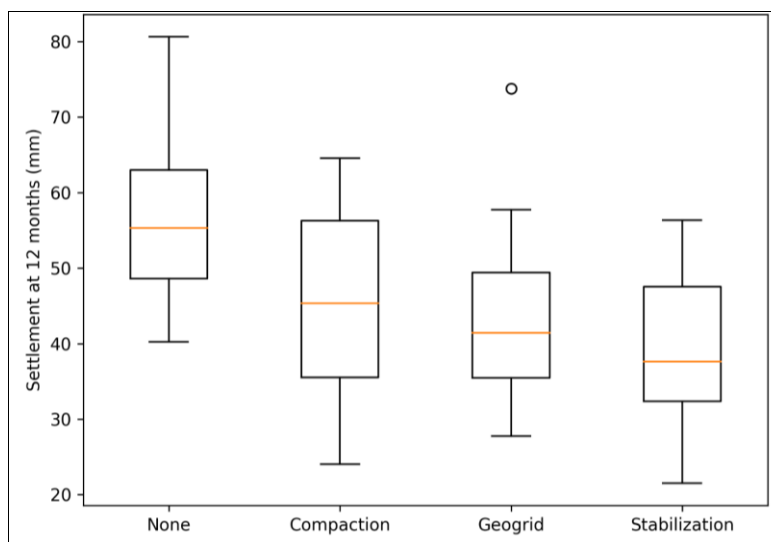


Fig 2: Settlement distributions by improvement method

Discussion

The findings of this review-based engineering assessment reinforce long-standing geotechnical observations that the performance of shallow foundations on refilled urban soils is governed more by placement quality and post-placement condition than by nominal soil classification alone ^[1, 2]. The statistical evidence demonstrates a strong inverse relationship between relative compaction and settlement, confirming that inadequate densification remains a primary driver of serviceability problems in urban redevelopment projects ^[6, 12]. This aligns with classical soil mechanics principles, where increased density enhances stiffness and reduces compressibility, particularly in granular or mixed fills ^[14].

Moisture deviation from optimum emerged as a statistically significant predictor of settlement, underscoring the sensitivity of refilled soils to construction-stage water control ^[1, 3]. Excess moisture weakens interparticle friction and increases void ratios, accelerating consolidation and post-construction deformation under shallow footings ^[6, 7]. The positive association between fines content and settlement further corroborates concerns raised in earlier studies regarding the heterogeneous nature of urban fills, where higher fines amplify compressibility and time-dependent deformation ^[2, 8]. These interactions explain why conventional elastic settlement models calibrated for uniform natural soils often underpredict settlement in refilled ground ^[7, 9].

The comparative analysis of improvement methods revealed statistically significant reductions in settlement for treated fills, with stabilization producing the most consistent improvement. This supports existing ground modification literature, which emphasizes stabilization as an effective means to control moisture susceptibility and enhance load distribution beneath shallow foundations ^[10, 12]. Compaction and basal reinforcement also improved performance, although with greater variability, reflecting differences in execution quality and boundary conditions an observation consistent with field-based studies using the observational method ^[5, 15].

Notably, once compaction, moisture, and fines were explicitly considered, the categorical distinction between quality-controlled and uncontrolled fill lost statistical significance in the regression model. This suggests that measurable engineering controls rather than nominal labels should form the basis of design and evaluation, echoing calls for performance-based frameworks in urban geotechnical practice ^[5, 6]. Overall, the results highlight the limitations of simplified design assumptions and the necessity of integrating construction control parameters into shallow foundation assessment on refilled soils ^[1, 2, 6].

Conclusion

This review-based engineering investigation demonstrates that shallow foundation performance on refilled urban soils is fundamentally controlled by compaction quality, moisture condition, fines content, and the judicious use of ground improvement measures, rather than by the mere presence of fill material. The synthesized statistical trends confirm that higher relative compaction substantially reduces settlement and improves bearing performance, while deviations from optimum moisture and elevated fines content significantly increase serviceability risks. Ground improvement techniques particularly stabilization consistently mitigate adverse behavior by enhancing stiffness, reducing moisture sensitivity, and improving stress transfer beneath shallow foundations. From a practical standpoint, these findings advocate for a shift away from prescriptive, soil-type-based design toward performance-oriented methodologies that

explicitly incorporate construction quality indicators and post-placement soil conditions. For urban engineering practice, this implies that site investigations should prioritize in situ density verification, moisture control assessment, and fines characterization within refilled zones, supported by continuous construction-stage monitoring. Shallow foundation design on refilled soils should adopt conservative settlement criteria unless demonstrable quality control and improvement measures are implemented, and designers should explicitly account for time-dependent deformation in serviceability checks. Contractors and project managers should emphasize controlled fill placement, systematic compaction testing, and moisture regulation as integral components of foundation risk management, rather than treating them as secondary construction activities. Where variability or uncertainty persists, targeted stabilization or reinforcement should be preferred over increasing foundation dimensions alone, as improvement addresses the root causes of deformation rather than its symptoms. Collectively, these practices support more resilient, economical, and sustainable urban infrastructure by reducing long-term maintenance demands and minimizing the likelihood of differential settlement-induced damage, thereby aligning engineering design with the complex realities of modern urban ground conditions.

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